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NOTICE OF ALLOWANCE AND FEE(S) DUE

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CT 06901 EXAMINER

CHAPEL, DEREK S

ART UNIT PAPER NUMBER

2872

DATE MAILED: 02/17/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/565,350	04/03/2007	Matthias Pirsch	175.8345USU	2168

TITLE OF INVENTION: DEVICE AND METHOD FOR EXAMINING CHEMICAL AND/OR BIOLOGICAL SAMPLES, AND OBJECTIVE CAP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	05/17/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 27623 7590 02/17/2011 OHLANDT, GREELEY, RUGGIERO & PERLE, LLP ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CT 06901			Fer paj hav LLP I h Sta ade	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, muchave its own certificate of mailing or transmission. Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelop addressed to the Mail Stop ISSUE FEE address above, or being facsimit transmitted to the USPTO (571) 273-2885, on the date indicated below.			
							(Depositor's name)
							(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORN	NEY DOCKET NO.	CONFIRMATION NO.
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nonprovisional	NO	\$1510	\$300	\$0		\$1810	05/17/2011
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
CHAPEL,	DEREK S	2872	359-368000	_			
CFR 1.363). Change of corresp Address form PTO/SI "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required. ASSIGNEE NAME A PLEASE NOTE: Unl recordation as set fort (A) NAME OF ASSIG	ND RESIDENCE DATA less an assignee is identi h in 37 CFR 3.11. Comp GNEE	nge of Correspondence ' Indication form ed. Use of a Customer A TO BE PRINTED ON T ified below, no assignee eletion of this form is NO	data will appear on the T a substitute for filing ar (B) RESIDENCE: (CIT	o 3 registered paten ively, gle firm (having as a agent) and the namorneys or agents. If e printed. ype) patent. If an assignation assignment. Y and STATE OR C	member es of up t no name	a 2ois 3	ocument has been filed for
Please check the appropr a. The following fee(s):			inted on the patent): D. Payment of Fee(s): (Ple				up entity Government
☐ Issue Fee			A check is enclosed.		-		,
☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			The Director is hereb	Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).			
a. Applicant claim	tus (from status indicated s SMALL ENTITY statu	is. See 37 CFR 1.27.	☐ b. Applicant is no lo				
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This collection of inform in application. Confident submitting the completed his form and/or suggesti	ation is required by 37 C tiality is governed by 35 d application form to the ons for reducing this but	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the	on is required to obtain or 1.14. This collection is endepending upon the indigenon Office COMMENTED FORMS.	retain a benefit by the stimated to take 12 revividual case. Any cocer, U.S. Additional to The street of the stree	he public minutes to mments o Trademan	which is to file (and o complete, including on the amount of tin ok Office, U.S. Depa	by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O.

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			CHAPEL, DEREK S		
			ART UNIT	PAPER NUMBER	
			2872		

DATE MAILED: 02/17/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 636 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 636 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)				
	10/565,350	PIRSCH, MATTHIAS				
Notice of Allowability	Examiner	Art Unit				
	DEREK S. CHAPEL	2872				
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to 6/3/10 & 11/16/10.	(OR REMAINS) CLOSED in this ap or other appropriate communication GHTS. This application is subject	pplication. If not included on will be mailed in due course. THIS				
2. ☑ The allowed claim(s) is/are <u>1-14</u> .						
3. ☑ Acknowledgment is made of a claim for foreign priority una) ☑ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☑ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received:	been received. been received in Application No					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF						
 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. 						
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material /D. S. C./ Examiner, Art Unit 2872	5. Notice of Informal 6. Interview Summar Paper No./Mail D 7. Examiner's Amend 8. Examiner's Statem 9. Other	y (PTO-413), ate				

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DETAILED ACTION

Status Of Claims

1. This Office Action is in response to an amendment received 6/3/2010 in which Applicant lists claims 3, 10-11 and 13 as being previously presented, claims 1-2, 4-9 and 12 as being currently amended, and claim 14 as being new. It is interpreted by the examiner that claims 1-14 are pending.

EXAMINER'S AMENDMENT

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Paul Greeley (31,019) on 2/1/2011.

The application has been amended as follows:

- A) Lines 13 through 16 of claim 1 have been replaced with:
 - --wherein the protection means comprises a plurality of capillary channels which are connected with the suction means for discharging the immersion medium, and which aid the discharge of the immersion medium with at least the aid of capillary forces, the plurality of capillary channels each having an inlet opening that is arranged annularly around the exit lens.--;
- B) Lines 13 through 16 of claim 2 have been replaced with:

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--wherein the protection means comprises a capillary channel which is connected with the suction means for discharging the immersion medium, and which aids the discharge of the immersion medium with at least the aid of capillary forces, and wherein the capillary channel is essentially configured as an annular gap around the exit lens.--;

- C) In line 7 of claim 9, "the protection means" has been replaced with --a protection means--;
- D) Lines 6 through 8 of claim 12 have been replaced with:
 - --each other such that an essentially annular capillary channel is defined, the capillary channel aiding in removing the immersion medium with at least the aid of capillary forces, and an outlet opening provided in the outer collar portion, via which an opening of the capillary channel is connected with a suction means.--.

Response to Arguments

3. Applicant's arguments, see pages 6 through 9 of the appeal brief, filed 11/16/2010, with respect to the rejections of claim 9 have been fully considered and are persuasive. Further, the examiner's amendments set forth above put claims 1, 2, 12, and their dependencies in allowable form. Therefore, the rejections of the claims have been withdrawn.

Allowable Subject Matter

- Claims 1-14 are allowed.
- 5. The following is an examiner's statement of reasons for allowance:

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Art Unit: 2872

Claim 1 is allowable over the cited art of record for at least the reason that the cited art of record fails to teach or reasonably suggest a device for examining chemical or biological samples comprising a protection means surrounding the exit lens for preventing the objective from becoming fouled by the immersion medium, wherein the protection means is connected with a suction means for discharging the immersion medium, wherein the protection means comprises a plurality of capillary channels which are connected with the suction means for discharging the immersion medium, and which aid the discharge of the immersion medium with at least the aid of capillary forces, the plurality of capillary channels each having an inlet opening that is arranged annularly around the exit lens, as generally set forth in claim 1, the device including the totality of the particular limitations recited in claim 1. Claims 3-8 depend from claim 1 and therefore are allowable for at least the same reasons as claim 1.

Page 4

Claim 2 is allowable over the cited art of record for at least the reason that the cited art of record fails to teach or reasonably suggest a device for examining chemical or biological samples comprising a protection means surrounding the exit lens for preventing the objective from becoming fouled by the immersion medium, wherein the protection means is connected with a suction means for discharging the immersion medium, wherein the protection means comprises a capillary channel which is connected with the suction means for discharging the immersion medium, and which aids the discharge of the immersion medium with at least the aid of capillary forces, and wherein the capillary channel is essentially configured as an annular gap around the exit

lens, as generally set forth in claim 2, the device including the totality of the particular limitations recited in claim 2.

Claim 9 is allowable over the cited art of record for at least the reason that the cited art of record fails to teach or reasonably suggest a method for examining chemical or biological samples wherein via a capillary channel defined in a protection means surrounding the objective as an annular gap around the exit lens the immersion medium is discharged automatically, at least with the aid of capillary forces, as generally set forth in claim 9, the device including the totality of the particular limitations recited in claim 9. Claims 10-11 depend from claim 9 and therefore are allowable for at least the same reasons as claim 9.

Claim 12 is allowable over the cited art of record for at least the reason that the cited art of record fails to teach or reasonably suggest an objective cap for protecting an objective from becoming fouled by an immersion medium wherein an essentially annular capillary channel is defined, the capillary channel aiding in removing the immersion medium with at least the aid of capillary forces, and an outlet opening provided in the outer collar portion, via which an opening of the capillary channel is connected with a suction means, as generally set forth in claim 12, the device including the totality of the particular limitations recited in claim 12. Claims 13-14 depend from claim 12 and therefore are allowable for at least the same reasons as claim 12.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably Application/Control Number: 10/565,350 Page 6

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accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DEREK S. CHAPEL whose telephone number is (571)272-8042. The examiner can normally be reached on M-F 10:30am-7:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephone B. Allen can be reached on 571-272-2434. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/D. S. C./ Examiner, Art Unit 2872 2/1/2011 /Stephone B. Allen/ Supervisory Patent Examiner Art Unit 2872